PTO/SB/21 (08-00) Plaase type a plus sign (+) inside this box --> + Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE 1 5 2003 nder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information untess it displays a valid OMB control number. **Application Number** 10/663,190 TRANSMITTAL Filing Dat September 15, 2003 **FORM** First Nam d Inventor Kenichi Ohkubo (to be used for all correspondence after initial filing) 1714 **Group Art Unit Examiner Name** Unknown Total Number of Pages in This Submission 4 Attorney Docket Number 56232.95 (excluding references) ENCLOSURES (check all that apply) After Allowance Communication to Deposit Account 07-1850 Assignment Papers Authorization (for an Application) Group Appeal Communication to Board of Drawing(s) In/Formal \_\_\_\_ Sheets with Postage Paid Return Postcard Appeals and Interferences Submission of Drawings Transmittal Issue Fee Transmittal with PTO-85b Appeal Communication to Group Amendment / Response (in duplicate) (Appeal Notice, Brief, Reply Brief) Amendment Transmittal Letter (in Request for Continued Examination Proprietary Information duplicate) Transmittal (RCE) Fee Transmittal Form (in duplicate) Affidavits/declaration(s) Request for Status of Application Power of Attorney, Revocation Petition for Extension of Time ( Other Enclosure(s) Change of Correspondence Address months) (in duplicate) (please identify below): Information Disclosure Statement Terminal Disclaimer (in duplicate) with Form PTO-1449 Request for Refund and 19 References Express Mail Label No. CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Squire, Sanders & Dempsey L.L.P. Paul J. Meyer, Reg. No. 47,791 Individual name Signature December // Date

CERTIFICATE OF MAILING

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner:

Unknown

Kenichi Ohkubo et al.

Serial No.

10/663,190

Art Unit:

1714

· Filed:

September 15, 2003

Title:

Colored Minute Particles Dispersion, Aqueous Ink, And Image Forming

Method Using The Same

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98

## Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §\$1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. One copy of each cited reference is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

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The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: December 11, 2003

SQUIRE, SANDERS & DEMPSEY L.L.P. One Maritime Plaza, Suite 300 San Francisco, CA 94111 Telephone (415) 954-0200 Facsimile (415) 393-9887 Respectfully submitted,

Paul J. Meyer, Jr.

Attorney for Applicants

Reg. No. 47,791

O-1449 (Modified) Approved for use through 10/31/2002

US DEPARTMENT OF COMMERCE

US Patent and Trademark Office

Docket No. Application No. 56232.95 10/663,190

Applicant

INFORMATION DISCLOSURE CITATION in an Application

Kenichi Ohkubo et al.

| Initial               | Ref. No. | Document           |                     |  |                 |          |                               |
|-----------------------|----------|--------------------|---------------------|--|-----------------|----------|-------------------------------|
| <i>+</i>              |          | Number             | Date of · Patent    | Name                                   | Class           | Subclass | Filing Date if<br>Appropriate |
|                       | A1       |                    |                     |  |                 |          |                               |
| <del></del>           | Ι.       |                    | . 1                 | ATENT DOCUMENTS                        | <del></del>     | T        |                               |
| Examiner I<br>Initial | Ref. No. | Document<br>Number | Date of Publication | Country                                | Class           | Subclass | Translation Yes No            |
|                       | B1       | 55-139471          | 10/31/80            | JAPAN (Abstract)                       |                 |          | Yes                           |
| E                     | B2       | 58-045272          | 3/16/83             | JAPAN (Abstract)                       |                 |          | Yes                           |
| E                     | В3       | 03-250069          | 11/7/91             | JAPAN (Abstract)                       |                 |          | Yes                           |
| E                     | B4       | 08-092513          | 4/9/96              | JAPAN (Abstract)                       |                 |          | Yes                           |
| E                     | B5       | 08-183920          | 7/16/96             | JAPAN (Abstract)                       |                 |          | Yes                           |
| E                     | В6       | 08-253720          | 10/1/96             | JAPAN (Abstract)                       |                 |          | Yes                           |
| E                     | B7 ·     | 09-157508          | 6/17/97             | JAPAN (Abstract)                       |                 |          | Yes                           |
| E                     | B8       | 09-279073          | 10/28/97            | JAPAN (Abstract)                       |                 |          | Yes                           |
| E                     | В9       | 10—176130          | 6/30/98             | JAPAN (Abstract)                       |                 |          | Yes                           |
| E                     | B10      | 10-251567          | 9/22/98             | JAPAN (Abstract)                       | ,               |          | Yes                           |
| _ E                   | B11      | 2000-191968        | 7/11/00             | JAPAN (Abstract)                       |                 |          | Yes                           |
| E                     | B12      | 2001-011347        | 1/16/01             | JAPAN (Abstract)                       |                 |          | Yes                           |
| E                     | B13      | 2001-098194        | 4/10/01             | JAPAN (Abstract)                       |                 |          | Yes                           |
| E                     | B14      | 2001-139607        | 5/22/01             | JAPAN (Abstract)                       |                 |          | Yes                           |
| Е                     | B15      | 2002-047440        | 2/12/02             | JAPAN (Abstract)                       |                 |          | ·Yes                          |
| E                     | B16      | 2002-080746        | 3/19/02             | JAPAN (Abstract)                       |                 |          | Yes                           |
| P                     | 317      | 2002-080772        | 3/19/02             | JAPAN (Abstract)                       |                 |          | Yes                           |
| E                     | 318 .    | 2002-088294        | 3/27/02             | JAPAN (Abstract)                       |                 |          | Yes                           |
| P                     | 319      | 2002-097395        | 4/2/02              | JAPAN (Abstract)                       |                 |          | Yes                           |
|                       | · T      | OTHER DO           | OCUMENTS (          | Including Author, Title, Date, Pertine | nt Pages, etc.) |          |                               |

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